

JC912 U.S. 08/28/01

UTILITY PATENT APPLICATION TRANSMITTAL

(For new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	4002-2817
First Inventor	Matthew M. Morrison et al.
Title	MULTI-AXIAL BONE ANCHOR SYSTEM
Express Mail Label No.	EL4832378SIUS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. *Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
3. Specification [Total Pages 36]
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix or computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
 Informal Formal
5. Oath or Declaration [Total Pages 3]
- a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09/526,189

Prior application information: Examiner: Eduardo C. Robert Group / Art Unit: 3732

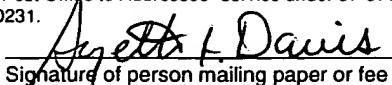
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach barcode label here)	or <input checked="" type="checkbox"/> Correspondence address below				
Name	Woodard, Emhardt, Naughton, Moriarty and McNett				
Address	Bank One Center/Tower 111 Monument Circle, Suite 3700				
City	Indianapolis	State	IN	Zip Code	46204-5137
Country	USA	Telephone	(317) 634-3456	Fax	(317) 637-7561
Name (Print/Type)	Christopher A. Brown			Registration No. (Attorney/Agent)	41,642
Signature	<i>Christopher A. Brown</i>			Date	August 28, 2001

Express Mail Label Number EL4832378SIUS Date of Deposit August 28, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Section 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231.


Signature of person mailing paper or fee

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	not yet assigned
Filing Date	August 28, 2001
First Named Inventor	Matthew M. Morrison et al.
Group Art Unit	unknown
Examiner Name	unknown

Total Amount of Payment (\$ 962.00)

Attorney Docket Number 4002-2817

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
23-3030

Deposit Account Number

Deposit Account Name **Woodard, Emhardt, Naughton, Moriarty & McNett**

Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27.

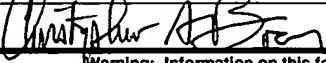
2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
					Large Fee Code	Entity Fee (\$)
101	710	201	355	Utility Filing Fee	105	130
105	320	206	160	Design Filing Fee	127	50
107	490	207	245	Plant Filing Fee	139	130
108	710	208	355	Reissue Filing Fee	147	2,520
114	150	214	75	Provisional Filing Fee	112	920*
SUBTOTAL (1) (\$ 710.)					113	1,840*
2. EXTRA CLAIM FEES					115	110
Total Claims	34	-20** =	14	X 18 = 252.	116	390
Independent Claims	2	-3** =	0	X 221 = 252.	117	890
Multiple Dependent					118	1,390
					128	1,890
					119	310
					120	310
					121	270
					138	1,510
					140	110
					141	1,240
					142	1,240
					143	440
					144	600
					122	130
					123	50
					126	180
					581	40
					146	710
					149	710
					179	710
					169	900
Other Fee (specify)					SUBTOTAL (3) (\$)	
* Reduced by Basic Filing Fee Paid						

** or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Christopher A. Brown	Registration No. (Attorney/Agent)	41,642	Telephone	(317) 634-3456
Signature				Date	August 28, 2001

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